3:21-mj-00077

## UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLUMBIA

Holding a Criminal Term

Grand Jury Sworn in on January 8, 2021

: CRIMINAL NO. 21-cr- 1:21-cr-00237
: GRAND JURY ORIGINAL
<ul> <li>VIOLATIONS:</li> <li>18 U.S.C. § 371</li> <li>(Conspiracy)</li> <li>18 U.S.C. §§ 1512(c)(2), 2</li> <li>(Obstruction of an Official Proceeding and Aiding and Abetting)</li> </ul>
<ul> <li>18 U.S.C. §§ 231(a)(3), 2</li> <li>(Obstruction of Law Enforcement During</li> <li>Civil Disorder and Aiding and Abetting)</li> <li>18 U.S.C. §§ 1361, 2</li> </ul>
<ul> <li>(Destruction of Government Property and Aiding and Abetting)</li> <li>18 U.S.C. § 1752(a)(1)</li> <li>(Entering and Remaining in a Restricted Building or Grounds)</li> <li>18 U.S.C. § 1752(a)(2)</li> <li>(Disorderly Conduct in a Restricted Building or Grounds)</li> </ul>

# **INDICTMENT**

The Grand Jury charges that, at all times material to this Indictment, on or about the dates

and at or about the times stated below:

Case: 1:21-cr-00237 Assigned To : Moss, Randolph D. Assign. Date : 3/19/2021 Description: INDICTMENT (B)

#### **Introduction**

### The 2020 United States Presidential Election and the Official Proceeding on January 6, 2021

1. The 2020 United States Presidential Election occurred on November 3, 2020.

2. The United States Electoral College ("Electoral College") is a group required by the Constitution to form every four years for the sole purpose of electing the president and vice president, with each state appointing its own electors in a number equal to the size of that state's Congressional delegation.

3. On December 14, 2020, the presidential electors of the Electoral College met in the state capital of each state and in the District of Columbia and formalized the result of the 2020 U.S. Presidential Election: Joseph R. Biden, Jr. and Kamala D. Harris were declared to have won sufficient votes to be elected the next president and vice president of the United States.

4. On January 6, 2021, a Joint Session of the United States House of Representatives and the United States Senate ("the Joint Session") convened in the United States Capitol ("the Capitol") to certify the vote of the Electoral College of the 2020 U.S. Presidential Election ("the Electoral College vote").

#### The Proud Boys

5. The Proud Boys describes itself as a "pro-Western fraternal organization for men who refuse to apologize for creating the modern world; aka Western Chauvinists." Proud Boys members routinely attend rallies, protests, and other events, some of which have resulted in violence involving members of the group. There is an initiation process for new members of the Proud Boys, and members often wear black and yellow polo shirts or other apparel adorned with Proud Boys logos to public events.

#### **Conspirators**

6. JONATHANPETER ALLEN KLEIN ("J. KLEIN"), is a 21-year-old resident of Oregon. J. KLEIN is a self-identified member of the Proud Boys. J. KLEIN is the brother of MATTHEW LELAND KLEIN.

7. MATTHEW LELAND KLEIN ("M. KLEIN"), is a 24-year-old resident of Oregon.M. KLEIN is the brother of J. KLEIN.

### The Attack at the U.S. Capitol on January 6, 2021

8. On December 19, 2020, plans were announced for a Stop the Steal protest event in Washington, D.C. on January 6, 2021, which protest would coincide with Congress's certification of the Electoral College vote.

9. On December 27, 2020, J. KLEIN notified his employer that he wanted to take time off from work between January 4 and 8, 2021, so that he and his brother could attend the "stop the steal rally in DC."

10. On December 29, 2020, J. KLEIN and M. KLEIN obtained airline tickets to travel from Portland, Oregon, to Philadelphia, Pennsylvania, on January 4, 2021. The tickets were paid for in cash.

11. On or before January 5, 2021, J. KLEIN and M. KLEIN traveled from Philadelphia to Washington, D.C.

12. On January 6, 2021, only authorized individuals with appropriate identification were allowed on the Capitol grounds or inside the Capitol building. The Capitol is secured 24 hours a day by United States Capitol Police ("Capitol Police") and permanent and temporary barriers that restrict access to the Capitol grounds and building.

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13. On January 6, 2021, at 12:45 p.m., a large crowd began to gather outside the Capitol perimeter.

14. Pedestrian entrances to the Capitol grounds were guarded by Capitol Police. Prominent signs posted on metal barriers at pedestrian entrances and other locations stated, "AREA CLOSED By order of the United States Capitol Police Board."

15. At 12:53 p.m., a group of people forcibly breached the barriers on the west side of the Capitol and members of the crowd charged past the trampled barriers and onto Capitol grounds.

16. At 1:00 p.m., the Joint Session convened in the Capitol to certify the Electoral College vote. Vice President Michael R. Pence, in his constitutional duty as President of the Senate, presided over the Joint Session, and Vice President-elect Harris was also present.

17. Outside the Capitol, law enforcement struggled to maintain control of the growing crowd that had advanced onto the Capitol grounds.

18. Crowd members, including J. KLEIN and M. KLEIN, eventually forced their way through, up, and over additional Capitol Police barricades and advanced to the building's exterior façade. Capitol Police officers attempted to maintain order and stop the crowd from entering the Capitol building. Prior to 2:13 p.m., the doors and windows of the Capitol building were locked or otherwise secured.

19. By 2:13 p.m., crowd members had begun to force entry into the Capitol building by breaking windows and forcing open doors. The crowd was not lawfully authorized to enter or remain inside the Capitol building, and no crowd member submitted to security screenings or weapons checks by Capitol Police or other security officials. Other crowd members encouraged and otherwise assisted the forced entry, affording J. KLEIN and M. KLEIN the ability to enter the Capitol building.

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20. Shortly after the Capitol had been breached, at 2:20 p.m., members of the House and Senate (including Vice President Pence)—who had withdrawn to separate chambers to resolve an objection—were evacuated from their respective chambers. The Joint Session was halted while Capitol Police and other law-enforcement officers worked to restore order and clear the Capitol building and grounds of the unlawful occupants.

21. Later that night, law enforcement regained control of the Capitol building and grounds. At approximately 8:00 p.m., the Joint Session reconvened, presided over by Vice President Pence, who had remained within the Capitol building in a secure location throughout these events.

22. In the course of these events, approximately 81 members of the Capitol Police and 58 members of the Metropolitan Police Department were assaulted. The Capitol suffered millions of dollars in damage—including broken windows and doors, graffiti, and residue from pepper spray, tear gas, and fire extinguishers deployed both by crowd members who stormed the Capitol and by Capitol Police officers trying to restore order. Additionally, many media members were assaulted and had cameras and other news-gathering equipment destroyed.

### <u>COUNT ONE</u> (Conspiracy—18 U.S.C. § 371)

23. The introductory allegations set forth in paragraphs 1 through 22 are re-alleged and incorporated by reference as though set forth herein.

### The Conspiracy

24. From as early as January 6, 2021, in the District of Columbia and elsewhere, the defendants,

### JONATHANPETER ALLEN KLEIN and MATTHEW LELAND KLEIN,

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did knowingly combine, conspire, confederate, and agree with each other and others known and unknown, to commit offenses against the United States, namely, (1) to corruptly obstruct, influence, and impede an official proceeding, that is, Congress's certification of the Electoral College vote, and to attempt to do so, in violation of Title 18, United States Code, Section 1512(c)(2) and (2) to obstruct, impede, and interfere with law enforcement officers engaged in the lawful performance of official duties incident to and during the commission of a civil disorder, in violation of Title 18, United States Code, Section 231(a)(3).

#### **Purpose of the Conspiracy**

25. The purpose of the conspiracy was (1) to corruptly stop, delay, or hinder Congress's certification of the Electoral College vote, and (2) to obstruct and interfere with law enforcement officers engaged in their official duties to protect the Capitol and its occupants from those who had unlawfully advanced onto Capitol grounds.

#### Manner and Means

26. J. KLEIN and M. KLEIN, with others known and unknown, carried out the conspiracy through the following manner and means, among others, by:

- a. Traveling to Washington, D.C. prior to the January 6 attack;
- b. Storming past barricades, Capitol Police, and other law enforcement officers;
- Gaining access to and assisting others with gaining access to restricted areas of the Capitol's grounds;
- d. Entering the Capitol building;
- e. Forcing open secure exterior doors of the Capitol, which were guarded by law enforcement officers; and

f. Interfering with efforts by law enforcement to stop the crowd from gaining access to the Capitol.

### **Overt Acts**

27. On January 6, 2021, J. KLEIN and M. KLEIN entered the restricted areas of the Capitol grounds.

28. At 2:11 p.m., M. KLEIN assisted members of the crowd, who had breached the Capitol's restricted grounds, with using a police barricade to climb a wall and gain access to an external stairwell leading to the Upper West Terrace of the Capitol.

29. At 2:16 p.m., J. KLEIN entered the Capitol building through a door on the northwest side of the Capitol. J. KLEIN engaged in a celebratory exchange with an identified member of the Proud Boys ("PERSON 1").

30. At 2:18 p.m., M. KLEIN entered the Capitol building through the same door on the northwest side of the Capitol.

31. J. KLEIN entered the Capitol Rotunda. While there, J. KLEIN engaged in another celebratory exchange with PERSON 1 during which J. KLEIN greeted PERSON 1 by declaring, "proud of your f\*\*\*ing boy!"

32. J. KLEIN and M. KLEIN exited the Capitol. After exiting the Capitol, J. KLEIN and M. KLEIN worked in coordination to forcibly open a secured door on the Capitol's north side. Federal law enforcement officers were visible on the other side of the door while J. KLEIN and M. KLEIN took this action.

33. When federal law enforcement officers responded to the violent entry, M. KLEIN put on protective goggles.

34. M. KLEIN then advanced toward the law enforcement officers and used a Gadsden flag affixed to a flagpole to interfere with efforts by law enforcement to disperse the crowd.

(In violation of Title 18, United States Code, Section 371)

## <u>COUNT TWO</u> (18 U.S.C. §§ 1512(c)(2), 2—Obstruction of an Official Proceeding and Aiding and Abetting)

35. Paragraphs 1 through 22 and paragraphs 27 through 34 of this Indictment are realleged and incorporated as though set forth herein.

36. On January 6, 2021, in the District of Columbia and elsewhere, the defendants,

### JONATHANPETER ALLEN KLEIN and MATTHEW LELAND KLEIN,

attempted to, and did, corruptly obstruct, influence, and impede an official proceeding, and did aid and abet others known and unknown to do the same; that is, J. KLEIN and M. KLEIN unlawfully entered the Capitol grounds or the Capitol building to, and did, stop, delay, and hinder Congress's certification of the Electoral College vote.

(In violation of Title 18, United States Code, Sections 1512(c)(2), 2)

### COUNT THREE

## (18 U.S.C. § 231(a)(3), 2— Obstruction of Law Enforcement During Civil Disorder and Aiding and Abetting)

37. Paragraphs 1 through 22 and paragraphs 27 through 34 of this Indictment are re-

alleged and incorporated as though set forth herein

38. On January 6, 2021, in the District of Columbia and elsewhere, the defendants,

### JONATHANPETER ALLEN KLEIN and MATTHEW LELAND KLEIN,

committed and attempted to commit an act to obstruct, impede, and interfere with law enforcement officers lawfully engaged in official duties incident to and during the commission of a civil disorder, and did aid and abet others known and unknown to do the same, and the civil disorder obstructed, delayed, and adversely affected the conduct and performance of a federally protected function.

(In violation of Title 18, United States Code, Sections 231(a)(3), 2)

## COUNT FOUR

## (18 U.S.C. §§ 1361, 2—Destruction of Government Property and Aiding and Abetting)

39. Paragraphs 1 through 22 and paragraphs 27 through 34 of this Indictment are realleged and incorporated as though set forth herein.

40. On January 6, 2021, in the District of Columbia and elsewhere, the defendants,

## JONATHANPETER ALLEN KLEIN and MATTHEW LELAND KLEIN,

attempted to, and did, willfully injure and commit depredation against property of the United States, and did aid and abet others known and unknown to do so; that is, J. KLEIN and M. KLEIN together and with others known and unknown, aided and abetted others known and unknown to forcibly enter the Capitol and thereby caused damage to the building in an amount more than \$1,000.

(In violation of Title 18, United States Code, Sections 1361, 2)

### **<u>COUNT FIVE</u>** (18 U.S.C. § 1752(a)(1)—Entering and Remaining in a Restricted Building or Grounds)

41. Paragraphs 1 through 22 and paragraphs 27 through 34 of this Indictment are realleged and incorporated as though set forth herein.

42. On January 6, 2021, within the District of Columbia and elsewhere, the defendants,

## JONATHANPETER ALLEN KLEIN and MATTHEW LELAND KLEIN,

did unlawfully and knowingly enter and remain in a restricted building and grounds, that is, any posted, cordoned-off, and otherwise restricted area within the United States Capitol and its grounds, where the Vice President and Vice President-Elect were temporarily visiting, without lawful authority to do so.

(In violation of Title 18, United States Code, Section 1752(a)(1))

# <u>COUNT SIX</u> (18 U.S.C. § 1752(a)(2)—Disorderly Conduct in a Restricted Building or Grounds)

43. Paragraphs 1 through 22 and paragraphs 27 through 34 of this Indictment are realleged and incorporated as though set forth herein.

44. On January 6, 2021, within the District of Columbia and elsewhere, the defendants,

# JONATHANPETER ALLEN KLEIN and MATTHEW LELAND KLEIN,

did knowingly, and with intent to impede and disrupt the orderly conduct of Government business and official functions, engage in disorderly and disruptive conduct in and within such proximity to, a restricted building and grounds, that is, any posted, cordoned-off, and otherwise restricted area within the United States Capitol and its grounds, where the Vice President and Vice President-Elect were temporarily visiting, when and so that such conduct did in fact impede and disrupt the orderly conduct of Government business and official functions. (In violation of Title 18, United States Code, Section 1752(a)(2))

A TRUE BILL

FOREPERSON

Channing D. Phillips / fr

CHANNING D. PHILLIPS ACTING U.S. ATTORNEY FOR THE UNITED STATES IN AND FOR THE DISTRICT OF COLUMBIA